

TARIFF CODES: 8 characters

Position 1: CHARGE TYPE	Positions 2 to 5: MARKET CODE	Positions 6 and 7: TRADE TYPE	Position 8: CHARGE BAND
	Exchange charge, order execution charge, order management charge / surcharge		Exchange charge (automatic trades)
E Exchange charge	AIM_ AIM: AIM, AIM1, AIM2, AIMI, AIMN	AT AT	1 Aggressive – band 1 (8p)
M Market maker service charge	CWTS Covered Warrants	CT CT	2 Aggressive – band 2 (7.75p)
O Order management charge	ETFS Exchange Traded Funds	UT UT	3 Aggressive – band 3 (7.5p)
R Market maker registration charge	IOB_ International Order Book: HKOB, IOB	AP AT by committed principal	4 Aggressive – band 4 (7.25p)
S Order management surcharge	ITBB International Bulletin Board	CP CT by committed principal	5 Aggressive – band 5 (7p)
X Execution charge	NSTS Dutch Trading Service	UP UT by committed principal	6 Aggressive – band 6 (6p)
	STMM SETSmm		7 Aggressive – band 7 (5p)
	SEAQ SEAQ: CNVE, SEAQ, SEQ1, STBS		8 Aggressive – band 8 (4p)
	SEAT SEATS: SEAT, SMKT, SETN		P Passive
	SETS SETS: SET1, SET2, SET3		blank UT
	TEST TEST: EURT, ODTT, RCON, TEST		
		Positions 6 and 7: ROLE	Position 8: ACTION
	Market maker service / registration charge		Order execution charge
	AMST AIM/SEATS	MM Market maker	A Aggressive
	SQIN SEAQI: EULQ, ITBB, LSTD, NLLD, OINT	CP Committed principal	P Passive
	SQSM SEAQ/SETSmm	OR Other roles	
		Positions 6 and 7: TRADE TYPE	
		Exchange charge (manual trades)	
	ID IDB trade		1 Paid – band 1 (8.5p)
	NM Nonmember counterparty		2 Paid – band 2 (6.5p)
	OT Other manual trade types		3 Paid – band 3 (5p)
	XX Cross trades		4 Paid – band 4 (3p)
	YY Uncharged trade types		5 Paid – band 5 (2.5p)
			6 Paid – band 6 (2p)
			7 Paid – band 7 (1.5p)
			8 Paid – band 8 (1p)
			C Counterparty (non-payer)
			R Reporting party (payer)
		Positions 6 and 7: ORDER TYPE	
		Order management charges	
	CP CP orders		D Deletion
	IB Iceberg orders		E Entry
	OO Other orders		M Modification
			P Delete free
			Q Entry free
			R Modification free

Example: ESETSAT1 → E Exchange charge
 SETS SETS segments
 AT Trade type AT
 1 Aggressive trades in charge band 1

Example: OSTMMIBD → O Order management charges
 STMM SETSmm segment
 IB Iceberg orders
 D Deletions